

# INVESTMENT BOND

## Transfer of Ownership Form

FORESTERS  
FINANCIAL



Please download and save this form prior to completing online. Please use CAPITAL letters if handwriting. We recommend you read and retain the current Investment Bond Product Disclosure Statement prior to completing this form.

### Part 1: Current Policy Owner(s) Details (Assignors)

I/We confirm the transfer of ownership of my policy in the Foresters Financial Investment Bond, under the membership number provided below to the assignee listed in Part 2.

#### Policy Owner 1

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	<input type="text"/>	
First Name	<input type="text"/>	Middle Name	<input type="text"/>				
Last Name	<input type="text"/>						
Birth Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address	<input type="text"/>						
Suburb	<input type="text"/>						
State	<input type="text"/>	<input type="text"/>	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country <small>(if other than Australia)</small>	<input type="text"/>						
Telephone (Day)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	Mobile	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email	<input type="text"/>						

#### Policy Owner 2 (if applicable)

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	<input type="text"/>	
First Name	<input type="text"/>	Middle Name	<input type="text"/>				
Last Name	<input type="text"/>						
Birth Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Street Address	<input type="text"/>						
Suburb	<input type="text"/>						
State	<input type="text"/>	<input type="text"/>	<input type="text"/>	Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country <small>(if other than Australia)</small>	<input type="text"/>						
Telephone (Day)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	Mobile	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email	<input type="text"/>						

## Part 2: New Policy Owner Details (Assignee)

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### Transfer TO

New Owner <small>(Assignee)</small>	<input type="text"/>	
Birth Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Street Address	<input type="text"/>	
Suburb	<input type="text"/>	
State	<input type="text"/> <input type="text"/> <input type="text"/>	Postcode <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country <small>(If other than Australia)</small>	<input type="text"/>	
Telephone (Day)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Mobile <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email	<input type="text"/>	

## Part 3: New Policy Owner (Assignee) Witness Details

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Assignee witness details required below.

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Other	<input type="text"/>
First Name	<input type="text"/>		Middle Name	<input type="text"/>		
Last Name	<input type="text"/>					
Street Address	<input type="text"/>					
Suburb	<input type="text"/>					
State	<input type="text"/> <input type="text"/> <input type="text"/>	Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Country <small>(If other than Australia)</small>	<input type="text"/>					
Telephone (Day)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Mobile	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
Email	<input type="text"/>					

## Part 4: New Policy Owner (Assignee) Proof of Identity

In accordance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act), Foresters Financial must verify the identity of each of its investors before providing financial services to them. In order for Foresters Financial to process your form successfully, please provide originals or original certified copies of the selected documents listed below along with your Transfer of Ownership Form. Existing members of Foresters Financial do not need to provide proof of identity.

Are you a politically exposed person<sup>^</sup>?

Yes

No

Acceptable Primary Photographic ID documents. SELECT ONE.	Acceptable Secondary ID document.	One of the following documents
<input type="radio"/> Current driver's licence (issued under Australian State/Territory, or a foreign country equivalent, containing a photograph of the individual). Please copy front and back of licence.	<p style="text-align: center;">OR</p> <input type="radio"/> A notice issued to an individual (showing the current residential address such as a tax notice within last 12 months or a utilities bill within last 3 months) that contains their residential address and records the provision of financial benefits/services or the debt owed by the individual.	<input type="radio"/> Birth certificate or birth extract issued under Australian State/Territory.
<input type="radio"/> Australian passport (an Australian passport that has expired within the last 2 years is acceptable).		<input type="radio"/> Birth certificate issued by a foreign government, the UN or an agency of the UN*.
<input type="radio"/> Foreign passport or an international travel document issued by a foreign government, the United Nations (UN) or an agency of the UN, containing a photograph and signature of the person whose name the document is issued*.		<input type="radio"/> Citizenship certificate issued by the Commonwealth.
<input type="radio"/> Card issued under Australian State or Territory law for the purposes of providing a person's age containing a photograph.		<input type="radio"/> Citizenship certificate issued by a foreign government*.
<input type="radio"/> A National Identity Card issued by a foreign government, the UN or an agency of the UN, containing a photograph and signature of the person whose name the card is issued*.		<input type="radio"/> A concession (health or pension) card issued by the Department of Human Services or Centrelink.

<sup>^</sup>To assist us with complying with AML/CTF laws we require you to disclose whether you (or any of your beneficial owners) are a Politically Exposed Person ('PEP'). A PEP is an individual who holds a prominent public position or function in a government body or an international organisation in Australia or overseas. This definition also extends to their immediate family members and close associates. Examples include a Head of State, Country or Government, a Government Minister, or equivalent senior politician. A PEP also includes immediate family members of a person referred to above, including spouse, de facto partner, child, and a child's spouse or a parent. A close associate of a PEP, i.e. any individual who is known to have joint beneficial ownership of a legal arrangement or entity is also considered to be a PEP. Where you identify as a PEP, we may request additional information from you.

\* Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

## Part 5: New Policy Owner (Assignee) Declaration and Signature

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I acknowledge that

- I have read and understood the current Product Disclosure Statement (PDS) for the Foresters Financial Investor Bond and have retained a copy for future reference
- I have provided all original or original certified copies required for proof of ID as found in Part 4.
- This assignment is not valid until registered by Foresters Financial.
- This assignment along with any applicable proof of identification documents will be sent to Foresters Financial, following registration, a copy shall be returned to the Member and the Assignee as confirmation.
- Once the assignment is registered, the new Policy Owner (Assignee) is eligible to redeem the investment policy under the membership number noted in Part 1.

Acceptance by Assignee

Date

  

Signature of Witness (as per Part 3)

Date

  

## Part 6: Current Policy Owner (Assignor) Witness Details

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Current member witness details required below.

Title  Mr  Mrs  Ms  Miss  Other

First Name  Middle Name

Last Name

Street Address

Suburb

State  Postcode

Country (If other than Australia)

Telephone (Day)  Mobile

Email

## Part 7: Current Policy Owner (Assignor) Declaration and Signature

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I/We note

- This form should be signed by the Policy Owner. For Joint Policy Owners, all Policy Owners must sign.
- If signed under power of attorney, the attorney certifies they have not received notice of revocation of the power of attorney and will provide a certified copy of power of attorney along with this form, if not previously provided to Foresters Financial.
- This change of ownership must be sent to Foresters Financial, following registration, a copy shall be returned to the Member(s) and the Assignee as confirmation.
- Once the change of ownership is processed, a confirmation will be forwarded to you by email.
- This change of ownership is not valid until registered by Foresters Financial.
- Once the change of ownership is registered, the new Policy Owner (Assignee) is eligible to redeem the investment policy under the membership number noted in Part 1 the Assignor will remain a member of Foresters Financial.

Signature of Member 1

Signature of Member 2 (if applicable)

Date

Signature of Witness (as per Part 6)

Date

## Return Details

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RETURN BY EMAIL

Ensure to include identification documents where relevant to:

[service@forestersfinancial.com.au](mailto:service@forestersfinancial.com.au)

OR

RETURN BY POST

Ensure to include identification documents where relevant to:

PO Box 7702  
Melbourne VIC 3004

### OFFICE USE ONLY

Actioned by

Date

Our privacy policy covers how we handle your personal information and is available at [www.forestersfinancial.com.au/privacy](http://www.forestersfinancial.com.au/privacy) or by contacting us

ForestersFinancial.com.au

Freecall 1800 645 326

[service@forestersfinancial.com.au](mailto:service@forestersfinancial.com.au)

PO Box 7702, Melbourne, VIC 3004.

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